Surface Modifications for Antifouling Membranes

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Contents

1. Introduction

Fouling is the deposition of retained particles, colloids, macromolecules, salts, etc., at the membrane surface or inside the pore at the pore wall. Fouling reduces the membrane flux either temporarily or permanently. While the initial flux can be restored by washing the membrane or by applying back-pressures to the temporarily fouled membrane, it cannot be restored when the membrane becomes permanently fouled. The main focus of this Review is on the permanent flux decline. The fouling is caused by the interaction between the membrane surface and the foulants, which include inorganic, organic, and biological substances in many different forms. The foulants not only physically interact with the membrane surface but also chemically degrade the membrane material. For example, colloidal particles, such as natural organic matter (NOM), are considered as the main reason for membrane fouling, which could be controlled by the permeation hindrance and electric double layer repulsion. The formation of biofilms with extra-cellular polymeric substances (EPSs) and microbial cells matrix is the example of biofouling.1 Biofilms are developed by the microbial cell adhesion and subsequent colonization on the membrane surfaces through EPS, which may account for 50-90% of total organic carbon. The biofouling could be minimized by periodical washing with chemicals such as sodium hypochlorite solution, but it will result in the simultaneous degradation of the membrane material's lifetime. It is a severe problem for membranes used in pressure-driven processes such as reverse osmosis (RO), nanofiltration (NF), ultrafiltration (UF), and microfiltration (MF) and also for other membrane processes, seriously hampering the applications of membrane processes. Hence, membrane fouling as well as its reduction has been a subject of many academic studies and industrial research and development efforts since the early 1960s when

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industrial membrane separation processes emerged. Selection of an appropriate membrane, pretreatment of the process fluid, adjustment of operating design, and conditions are all known to control fouling to some extent. On the other hand, development of absolutely nonfouling membranes seems extremely difficult, if not totally impossible. This Review surveys the latest efforts in which the reduction of irreversible fouling is attempted by the modification of the membrane surface. The separation process by membrane is essentially a surface phenomenon. More specifically, the skin layer or top surface layer plays the vital role. Therefore, it is a natural consequence to modify membrane surface for reducing the fouling.

It is generally accepted that an increase in hydrophilicity offers better fouling resistance because protein and many other foulants are hydrophobic in nature. Most nanofiltration membranes are electrically charged, which significantly reduces the scale-formation. During the past decade, the emergence of atomic force microscopy (AFM) enabled us to study the effect of the surface roughness in nanoscale on the membrane fouling. It is believed that the membrane fouling with particulate substance is enhanced by an increase in the surface roughness. It is shown in this Review that all of the above concepts, except for the membrane surface charge, are based on correlation of data, which are, at best, * To whom correspondence should be addressed. Phone: (613) 562-5800,
ext 6085. Fax: (613) 562-5172. E-mail: rana@eng.uottawa.ca. valid within a limited range of surface property parameters.

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Takeshi Matsuura received his B.Sc. (1961) and M.Sc. (1963) from the Department of Applied Chemistry at the Faculty of Engineering, University of Tokyo. He received his Doktor-Ingenieur at the Institute of Chemical Technology of the Technical University of Berlin (1965). He worked at the National Research Council of Canada from 1969 until he joined the University of Ottawa in 1992 as a professor and the chair holder of the British Gas/NSERC Industrial Research Chair. He served as a professor of the Department of Chemical Engineering (currently Chemical and Biological Engineering) and the director of the Industrial Membrane Research Institute (IMRI) until he retired in 2002. He was appointed to professor emeritus in 2003. He served also at the National University of Singapore (2003), University Technology Malaysia, Skudai, Malaysia (2007, 2009), at Myongji University, Yongjin, Korea (2008), as a visiting professor. He received the Research Award of International Desalination and Environmental Association in 1983. He is a fellow of the Chemical Institute of Canada and a member of the North American Membrane Society. He has published over 300 papers in refereed journals, authored and coauthored 4 books, and edited 6 books. A symposium of membrane gas separation was held at the Eighth Annual Meeting of the North American Membrane Society, May 18-22, 1996, Ottawa, to honor Dr. Matsuura together with Dr. S. Sourirajan. He received the George S. Glinski Award for Excellence in Research from the Faculty of Engineering of the University of Ottawa in 1998.

It is our intention to point out that, due to limited and delayed availability of research and development results from different sources, conclusions of the scientific community working in this area are not always firmly entrenched by experimental data obtained systematically.

It should be noted that many surface-sensitive techniques such as AFM, scanning tunneling microscopy (STM), secondary ion mass spectrometry (SIMS), X-ray photoelectron spectroscopy (XPS) (also known as electron spectroscopy for chemical analysis, ESCA), laser scanning confocal microscope (LSCS), electron spin resonance (ESR), neutron reflectivity (NR), and scanning electron microscope (SEM) have contributed to the study of membrane surface modification during the past decade or two. Each technique has its own merit and limitation. Fourier transform infrared (FTIR) with attenuated total reflection (FTIR-ATR), XPS with energy disperse X-ray (XPS-EDX), and SIMS could provide chemical and structural information of the membrane surface. XPS gives quantitative information of polymer, whereas SIMS provides qualitative information, although it can differentiate among polymers that have the same XPS data. AFM can measure porosity, pore size, and its distribution, nodule size, and aggregate size at the membrane surface. FTIR-ATR is not very surface sensitive due to a large penetration depth, although it is a common technique to analyze quickly the membrane surface.

Contact angle depends upon surface hydrophilicity (or hydrophobicity), roughness, porosity, pore size, and its distribution. If a membrane is highly porous, then the contact

Figure 1. Correlation between contact angle and relative flux. Reprinted with permission from ref 2. Copyright 1997 Elsevier.

angle value may become very low, although the membrane is not necessarily hydrophilic. Similarly, the contact angle value of a membrane of higher surface roughness is higher as compared to the other membrane of lower surface roughness, although both membranes are of similar hydrophilic nature. The sizes of the pores obtained from the image analysis of AFM are often greater than those obtained from other methods, because it is believed that AFM measures the pore size size at the mouth of the funnel-shaped pores. Recently, AFM was used to investigate adhesion properties of membranes by force measurement. Using the colloidal probe technique, that is, by attaching micrometer-sized spheres to the cantilever, one can quantify the interaction force working between surface and probe. A membrane with a hydrophilic surface interacts strongly with a hydrophilic probe as indicated by a large phase shift, whereas the hydrophobic surface gives only a small phase shift.

1.1. Surface Hydrophilicity

It is usually assumed that fouling decreases with an increase in hydrophilicity of the polymeric material. The above assumption seems reasonable as with an increase in membrane surface hydrophobicity, hydrophobic organic molecules are driven more toward the surface, resulting in the enhancement of surface contamination. Nevertheless, there are only few works in which membrane fouling is directly correlated to the hydrophilicity/-phobicity of the membrane surface. One such correlation is given in Figure 1. The figure clearly shows that the flux ratio J/J_0 decreases, which means an increase in fouling, with an increase in contact angle (i.e., an increase in hydrophobicity). The correlation was, however, shown only in a limited range of contact angle, that is, from 30° to 65°. Given the fact that other membrane parameters also change together with the change in contact angle, the above correlation is of limited value. It would be dangerous to extrapolate the correlation in Figure 1 from extremely low (very hydrophilic) to extremely high (very hydrophobic) contact angle values, which is often exercised in the industry particularly when ultralow contact angle membranes are commercialized.

On the basis of the above assumption, many attempts have been made to increase the membrane surface hydrophilicity by surface modification, as summarized in Table 1. They are classified into several categories, and for each category there are advantages and disadvantages. For example, adsorption and coating could apply successfully, but the surface layer will also be easily removed after long usage of membranes. Surface chemical reactions are carried out often under strongly hazardous conditions. Although the

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grafted surfaces are stable, chemicals used for grafting are sometimes environmentally unfriendly. Grafting by gamma ray and UV irradiation, or in the plasma chamber, is not easy to apply on a large industrial scale. It is known that poly(*N*-vinyl-2-pyrrolidone) (PVP), when blended to polysulfone, is concentrated at the membrane surface, rendering the surface more hydrophilic. However, PVP will eventually be leached out while being used in UF.³

There are many reports where it has been shown that hydrophobic surface favors less fouling. For example, we have shown experimentally that a smaller amount of humic acid is deposited onto a membrane modified by hydrophobic additive.4 A fouling test with humic acid exhibited that the modified membranes suffered from reduction in permeation flux less than that of the control. It is also noted that the pore size and the MWCO of the modified membranes are lower than those of the control poly(ether sulfone) membrane.

There is a trend in the latest reports to blend nanoparticles in the polymeric material, by which an increase in hydrophilicity is expected. The higher hydrophilicity was indeed confirmed by the contact angle measurement.^{$5-7$} Additional advantages of nanoparticle blended membranes are as follows: (1) the flux of the nanoparticle membranes is very high due to the larger effective surface area of the membrane caused by the nodular shapes having ridges and valleys as of atomic force microscope study;⁸ and (2) when $TiO₂$ nanoparticles are blended, photocatalytic antibacteria/antivirus activities of $TiO₂$ occur simultaneously.⁹ Most of the nanoparticles like $TiO₂$, $Al₂O₃$, etc., are metal oxides, which could easily be hydrolyzed to form hydroxides. $TiO₂$ magnifies the antibacterial activities of UV as $TiO₂$ generates peroxide redicals. In the absence of $TiO₂$, a higher dose of UV light is needed to neutralize bacterial activities.¹⁰

It should noted that we have cited literature on antifouling of surface-modified membranes, and not on antifouling polymer of the solid-modified surface such as Au, Si, Si/ $SiO₂$, glass, mica, stainless steel, etc.

1.2. Surface Charge

It is also generally accepted that the repulsive forces working between the charged surface and the co-ions in the feed solution prevent the solute deposition on the membrane surface, thus reducing the fouling. However, no definite

correlations, such as shown in Figure 1 for hydrophilicity, can be found between the surface charge density and the fouling. Nevertheless, there have been a number of attempts to reduce fouling by incorporating ionizable functional groups, as summarized in Table 2. In most cases, surfaces are negatively charged. It seems natural, considering that most of the colloidal particles such as NOMs that deposit on the membrane surface are negatively charged. However, attempts were made recently to develop positively charged membranes. Similar to the negatively charged surface membranes, the positively charged membrane surfaces behave as barriers for co-ions (cations) due to dominance of exclusion mechanism (Ulbricht et al.²⁰³); for example, the positively charged membrane surface exhibited repulsion against positively charged proteins (Kato et al.²¹⁸). Recent experiments suggest that the addition of zwitterionic charged material can be more effective for antifouling surface as compared to the conventional positively or negatively charged membrane surface.148

1.3. Surface Roughness

The effect of surface roughness on the flux is also one of the unresolved issues. Elimelech et al.²¹⁹ found that the commercial TFC membrane they used fouled faster than the cellulose acetate membrane. They concluded that the observations occurred due to the greater surface roughness of the TFC membrane. Hirose et al.²²⁰ showed a correlation between the membrane flux and the surface. The increase in flux with an increase in surface roughness was attributed to the increase of the area available for the membrane transport. Vrijenhoek et al., 221 on the other hand, showed a strong correlation between the fouling and the surface roughness for some RO and NF membranes, as shown in Figure 2.

This was attributed to the enhancement of interactions between colloidal particles with an increase in surface roughness (Elimelech et al.²¹⁹); that is, colloidal particles preferentially accumulate at the valleys of the rough membrane surface. As a result, valleys become blocked and fouling becomes more severe for the rougher membrane surface (Vrijenhoek et al.²²¹). However, contradictory results are also reported for organic particles (Riedl et al.; 222 Yan et al.187). Most probably, the adhesive forces (organic particles-membrane surface interaction, organic particles-

Table 2. Reduction of Fouling by Increasing Surface Charge

organic particles interaction, etc.) are the important parameters for fouling (Huisman et al.²²³). Moreover, at the initial stage of fouling, particles are brought into direct contact with the membrane surface; hence the organic particles-membrane surface interaction governs the particle deposition. On the other hand, after the gel-layer formation, the interaction between organic particles and organic particles becomes more important. Thus, the effect of the surface roughness on the overall membrane performance is, at best, controversial. Moreover, little is known about the effect of surface roughness on the deposition of smaller organic molecules. Intuition somehow tells us that smoother surface shows less

Figure 2. Relationship between relative flux and surface roughness of TFC RO membranes. Measuring conditions: 1500 ppm NaCl solution, 25 °C, 1.5 MPa. Plotted from data in Table 1 of Vrijenhoek et al. 221

adsorption to the organic molecules, and in general a rougher and heterogeneous surface is better for adsorption, because of the larger suface area, than a smoother surface. The effect of surface roughness on salt precipitation is another unresolved issue. Interested readers may consult the articles on the surface roughness effect on colloidal particles found in

Table 3. Reduction of Fouling by Decreasing Surface Roughness

ref 224. Overall, efforts are currently focused on the reduction of surface roughness. Some pertinent works on the effect of the surface roughness are listed in Table 3.

1.4. Biomimetic Surface

When polymeric membranes are used in biomedical applications, such as hemodialysis, the adsorption of proteins usually stimulates the attachment of fibrous and antibiotic moieties, leading to subsequent biological responses such as thrombus formation and immuno-responses. The extent of protein adsorption is mainly determined by the delicate balance of the interaction between the protein molecules and the membrane surface. Considering the membrane surface alone, the factors that affect the protein adsorption include hydrophilicity/-phobicity balance, surface charge, and surface roughness. These factors are similar to those that have been already discussed in terms of the surface modification for the reduction of membrane fouling. The heterogeneous surfaces that exhibit a microdomain structure for a multicomponent system also influence the protein adsorption.

Another approach that has been developed only recently is to mimic the cell membrane. Mimicking the biological cell membrane functions is considered to result in less adhesion for biomacromolecules. It is well-known that the

Table 4. Reduction of Fouling by Biomimetic Surface

Table 4 Continued

cell membrane is mainly composed of various types of phospholipids, proteins, and carbohydrates. Proteins in the bloodstream do not adsorb irreversibly onto the surface of the cell, suggesting that their surfaces are biocompatible. On the basis of this concept, the attention of some groups is focused on the development of membranes with biomimetic surface layer. $97,233-236$ Especially the layers containing phospholipid analogues, polypeptide backbones, and sugar moieties were found very effective at reducing protein adsorption. This method can be potentially applied for the biofouling reduction in bioseparation, artificial organs, and also water treatment. Other biomimetic materials include chitosan, dextran, gelatin, heparin, and insulin.

Most surface modifications are performed by the formation of a covalent bond by grafting biomimetic polymers onto the membrane surface using chemical reaction, plasma, UV irradiation, etc. (Table 4). Although these methods look promising in the laboratory scale, their industrial applications seem more challenging due to the limitation of areas that can be covered in UV and plasma applications. Ishihara et al.234 proposed blending of phospholipids polymer into polysulfone to make the host polymer more biocompatible. They have synthesized copolymers of 2-methacryloyloxyethyl phosphorylcholine with various methacrylates.^{234,237} The copolymers were blended with polysulfone in the membrane casting solution. It was found that the content of phospholipids at the membrane surface became higher than in the bulk due to the surface migration of the copolymer. It was also found that human plasma fibrinogen adsorption on the membrane surface was reduced by blending copolymers. This approach is similar to the blending of surface modifying macromolecules (SMMs) discussed later more in detail.

1.5. Surface Thin-Film-Layer

A thin selective layer is deposited as a coating of thinfilm-layer (TFL) on top of the membrane surface by interfacial in situ polymerization reaction: for example, if a top surface of membrane came in contact with an aqueous solution of diamine and subsequently came in contact with diacid chloride (or triacid chloride) solution in hexane, and then at the interface forms the polyamide, which is basically a polycondensation reaction of diacid chloride (or triacid chloride) and diamine. Monomers should have two (or more than two) reactive groups for polycondensation reaction. TFC membranes have been successfully prepared from various polymers.

It is well established that thin film composite (TFC) RO membranes are prepared by the in situ polymerization technique applied to porous MF/UF/NF membranes. According to this technique, a porous membrane is immersed in an aqueous diamine solution, and then the top surface of the membrane is brought into contact with diacid chloride (or triacid achloride) solution in hexane. Thus, a thin-filmlayer (TFL) is formed on top of the porous substrate as a result of polycondensation. A variety of TFLs can be formed

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Table 5. Reduction of Fouling by Surface Thin-Film-Layer

Table 5 Continued

from different polymers including polyamide-hydrazide, polyamide-ether, polyamide-urea, polyurea, polybenzimidazole, and similar polymers. Utilizing this concept, formation of thin-film-layer (TFL) on membrane surface is currently one of the projects undertaken to prevent fouling (Table 5).268-²⁷² The TFLs can also be formed through noncovalent or van der Waals bond by using hydrophilic or negatively charged materials. In general, TFL is applied to the MF/UF membranes so that the surface becomes smoother, hydrophilic, and negatively charged, which is expected to reduce the fouling. Recently, MF/UF/NF membranes were fabricated from surface-modified nanofibrous membranes, and RO membranes were developed with nanoparticles entrapped in the top surface layer of the thin-film-nanocomposite (TFN) membranes. It is expected to prevent fouling in many systems.

2. Surface-Modifying Macromolecules

When two macromolecules are blended, one of the macromolecular components tends to migrate toward the surface to reduce the surface energy. By controlling the amount of the migrating component, the surface can be modified by blending only a small quantity of macromolecules. The process is one step unlike coating, grafting, and other processes that require an extra surface-modifying process. Several works based on this principle are summarized in Table 6.

On the basis of the above concept, surface-modifying macromolecules (SMMs) have been developed by our group. SMMs migrate and reorganize themselves at the membrane surface so that the tail groups are oriented perpendicular to the air surface. $302-305$ The SMMs often show micellar formation analogous to that of surfactants. The concept of the SMM-blended membrane is schematically illustrated in Figure 3. The hypothesis is based on AFM, LSCS, SEM, XPS, etc. The high elemental composition of SMM is present at the active membrane surface, while the concentration of the base material is low. With an increase in distance from the top surface to the depth direction, the SMM concentration decreases as observed by XPS elemental analysis. SMM-rich microdomains are often embedded in the active surface. A gradual depletion of the number of microdomains was observed throughout the bulk matrix from the top membrane surface down to a depth of 12 *µ*m by LSCS. These observations from AFM and SEM further noticed that SMM-blended membranes are often rougher than the base polymeric membrane.

Mayes' group used methyl methacrylate and PEO-based Comb polymer as the macromolecular additive to increase the surface hydrophilicity of poly(vinylidene fluoride) membrane.^{173,293,294} SMMs prepared by our group are, on the other hand, based on polyurethane prepolymers.^{296,304,305} When both ends of a prepolymer are capped by hydrophilic chains, hydrophilic SMMs are formed. Their blending to the host polymer renders the surface more hydrophilic. On the other hand, when end-capping is made by fluorohydrocarbon chains, the SMMs become hydrophobic, and the blending of these SMMs renders the surface hydrophobic. Interestingly, blending both hydrophilic and hydrophobic SMMs can reduce fouling. The fouling reduction by hydrophobic SMMs is attributed to the accumulation of fluorohydrocarbon at the surface, which acts as a surface lubricant. This shows that an increase in surface hydrophilicity alone does not provide a necessary condition for fouling reduction.

Table 6. Surface-Modifying Macromolecules for Membrane Fouling

3. Conclusions

After surveying the latest works on the surface modification aimed at the reduction of membrane fouling, the following conclusions can be drawn.

Regarding the effect of hydrophilicity on the fouling, the surface contact angle is used as a measure of the surface hydrophilicity. The contact angle is, however, affected by the surface morphology such as surface roughness and membrane pore size. Currently, there is no experimental method to measure hydrophilicity as a unique property of the membrane chemistry. The fouling reduction by membranes of very high hydrophobicity suggests that there are chemistry parameters other than hydrophilicity that affect the membrane fouling.

Regarding the effect of surface charge, the experimental method and the surface electrochemistry seem far better established than other parameters such as hydrophilicity and surface roughness.

Emergence of AFM, which allows the measurement of surface roughness in nanoscale, enabled the correlation of the surface roughness and the fouling, which means fouling

increases with an increase in surface roughness. The correlation has been established but only for a limited range of RO and NF membranes. It is not known if the correlation can be extrapolated to a wider range of membrane roughness. It is also not known if the same theory is applicable to the fouling resulted from the deposition of small organic molecules and inorganic salts. The mechanism of hydrodynamics on the rough surface of nanoscale is also unknown. It should also be noted that there is a different view regarding the contribution of surface roughness to the membrane performance. Some researchers believe that an increase in surface roughness favorably affects the membrane performance, because enhanced surface roughness may result in an increase in the effective surface area, and consequently an increase in the permeate flux. The effect of membrane surface area on the membrane performance is a controversial research topic even now.

The simultaneous changes in the other parameters, when one of the parameters is changed, make the study of membrane fouling even more difficult.

Figure 3. An art diagram illustrating SMM-blended membranes: dumbell-shaped tail contains functional end groups, and the bent line contains polymer chain.

Regarding the contribution of biomimetic surface, immobilization of biomacromolecules plays a significant role to prevent the biofouling. This is one of the latest attempts to reduce the membrane fouling as the references that relate to this approach indicate. Formation of biomimetic surface is considered to be the most effective way to prevent the biofouling. Although its applications are rather limited at the current stage of development, wider applications are expected in the future. Similarly, the formation of thin-filmlayer based on the well-established technique to fabricate TFC membranes for RO is a new attempt for fouling reduction. In this approach, the formation of selective thin layer is not necessary. Rather, the top thin film is formed only to increase hydrophilicity of the membrane surface. A thin layer of self-assembled polyelectrolytes may also serve to increase the surface hydrophilicity.

Last, but not least, the most important unanswered question is how much the surface modification can contribute to the fouling reduction. Some researchers have experienced that membrane fouling can indeed be reduced by modifying the membrane surface only when the solution is dilute or only in the initial stage of the separation experiment. Once the deposition of foulants has taken place, the surface modification is no longer effective to prevent fouling. This is understandable because the effect of solute/membrane interaction is severely reduced once a layer of deposited foulants is formed. The surface properties can no longer play a role in further deposition of foulants.

This implies that there are no membranes that are free from fouling under any circumstances. To maximize the effectiveness of the modified surface, incorporation of other devices to prevent the foulant deposition onto the membrane surface, for example, membrane module design, membrane cleaning, etc., is necessary.

4. List of Abbreviations

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